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DATE

May 11, 2004

NO. OF PAGES (INCLUDING COVER SHEET)

FROM

name

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Kurt T. Mulville

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TO

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fax .

Examiner Lina Kontos

USPTO

703/872-9306

United States Patent Application Serial No. 09/872,068 filed May 31, 2001 "Embolization Protection System for Vascular Procedures"

MESSAGE

Dear Examiner Kontos:

Attached please find the following:

(1) Amendment After Final Pursuant to 37 CFR § 1.116 And Response; (2) Information Disclosure Statement and PTO Form 1449 and (3) Certification Under 37 § 1.97(e)(2).

I sent this on Friday and Examiner Jim Engel received this in ext.

C-M-A

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ORRICK, HERRINGTON & SUTCLIFFE LLP 4 PARK PLAZA; SUITE 1600 IRVINE, CA 92614

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23 NO. OF PAGES May 7, 2004 DATE (INCLUDING COVER SHEET) FROM fax пате 949/567-6710 Kurt T. Mulville TO name company/firm tel fax **Examiner Lina Kontos** USPTO 703/872-9402 United States Patent Application Serial No. 09/872,068 filed May 31, 2001 "Embolization Protection System for Vascular Procedures" **MESSAGE** Dear Examiner Kontos:

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704174.9 Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Brian K. Courtney et al.

Serial No.: 09/872,068

Filed: May 31, 2001

For. EMBOLIZATION PROTECTION
SYSTEM FOR VASCULAR PROCEDURES

Group Art Unit: 3763

Examiner: Lina Kontos

CERTIFICATION UNDER 37 § 1.97(e)(2)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify that each item of information contained in the Information Disclosure Statement, filed May 7, 2004, Serial No. 09/872,068, was to the best of my knowledge, not known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

ORRICK, HERRINGTON & SUTCLIEFE LLP

Dated: May 7, 2004

KTM/lf 4 Park Plaza, Suite 1600 Irvine, CA 92614 949/567-6700 X 7740 Telephone 949/567-6710 Facsimile Kurt T. Mulville, Reg. No. 37,194

CERTIFICATE OF PACSIMILE

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being faxed to Examiner Lina Konros at 703/872-9402 at the United States Patent and Trademark Office, Commissioner for Patents P. O. Box 1450, Alexandria, VA 22313-1450

Date of Faxing: May 7, 2004

DOCSOC1:150551.1 704174-9 K2M Lyme Fulmer

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Examiner Line Kontos

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